

## GUIDELINES COMPLIANCE TABLE

### Guidelines on methodology, oversight function and record-keeping requirements under the Benchmarks Regulation (ESMA81-393-288)

The following competent authorities comply or intend to comply with ESMA's Guidelines on methodology, oversight function and record-keeping requirements under the Benchmarks Regulation:

		Competent authority	Complies or intends to comply	Comments
<b>Member States</b>				
BE	Belgium	Financial Services and Markets Authority (FSMA)	Yes	
BG	Bulgaria	Financial Supervision Commission (FSC)	Yes	
BG	Bulgaria	Bulgarian National Bank	Intends to comply *	Intends to comply by the date a relevant institution or instrument will exist in the jurisdiction
CZ	Czech Republic	Czech National Bank (CNB)	Yes	
DK	Denmark	Finanstilsynet	Yes	
DE	Germany	BaFin	Yes	
EE	Estonia	Finantsinspektsioon (FSA)	Yes	<a href="https://www.fi.ee/et/juhendid/pangandus-ja-krediit/euroopa-vaartpaberiturujarelevalve-esmasuuniste-suunised-vordlusaluste-maaruse-kohaselt-kasutatava">https://www.fi.ee/et/juhendid/pangandus-ja-krediit/euroopa-vaartpaberiturujarelevalve-esmasuuniste-suunised-vordlusaluste-maaruse-kohaselt-kasutatava</a>
IE	Ireland	Central bank of Ireland	Yes	
EL	Greece	Hellenic Capital Market Commission (HCMC)	Yes	<a href="http://www.hcmc.gr/el_GR/web/portal/eli/b/news">http://www.hcmc.gr/el_GR/web/portal/eli/b/news</a>

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
ES	Spain	Comisión Nacional del Mercado de Valores (CNMV)	<b>Yes</b>	<a href="http://www.cnmv.es/DocPortal/Quees/Procedimientos/P01-Supervision-e-inspeccion_en.pdf">http://www.cnmv.es/DocPortal/Quees/Procedimientos/P01-Supervision-e-inspeccion_en.pdf</a>
FR	France	Autorité des Marchés Financiers (AMF)	<b>Yes</b>	<a href="https://www.amf-france.org/en/news-publications/news/benchmarks-amf-will-apply-esma-guidelines">https://www.amf-france.org/en/news-publications/news/benchmarks-amf-will-apply-esma-guidelines</a>
HR	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	<b>Yes</b>	<a href="https://www.hanfa.hr/OSN-Smjernice-o-metodologiji-funkciji-nadzora-i-vođenju-evidencije-u-skladu-s-Uredbom-o-referentnim-vrijednostima-(ESMA81-393-288)">OSN - Smjernice o metodologiji, funkciji nadzora i vođenju evidencije u skladu s Uredbom o referentnim vrijednostima (ESMA81-393-288)</a>
IT	Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	<b>Yes</b>	
CY	Cyprus	Cyprus Securities and Exchange Commission (CySEC)	<b>Yes</b>	<a href="https://www.cysec.gov.cy/public-info/circulars/supervised/BENCHMARK-PROVIDERS/92548/">https://www.cysec.gov.cy/public-info/circulars/supervised/BENCHMARK-PROVIDERS/92548/</a>
LV	Latvia	Financial and Capital Market Commission (FCMC)	<b>Intends to comply *</b>	Intends to comply by the date a relevant institution or instrument will exist in the jurisdiction
LT	Lithuania	Lietuvos bankas (LB)	<b>Intends to comply *</b>	Intends to comply by the date a relevant institution or instrument will exist in the jurisdiction
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	<b>Yes</b>	
HU	Hungary	Magyar Nemzeti Bank (MNB)	<b>Intends to comply *</b>	Intends to comply by the date a relevant institution or instrument will exist in the jurisdiction
MT	Malta	Malta Financial Services Authority (MFSA)	<b>Yes</b>	
NL	Netherlands	Authority for the Financial Markets (AFM)	<b>Yes</b>	
AT	Austria	Financial Market Authority (FMA)	<b>Yes</b>	<a href="https://www.fma.gv.at/en/eu/esma-guidelines/">https://www.fma.gv.at/en/eu/esma-guidelines/</a>
PL	Poland	Komisja Nadzoru Finansowego (KNF)	<b>Yes</b>	

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
PT	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	<b>Yes</b>	<a href="https://www.cmvm.pt/pt/Cooperacao/esma/DocumentosESMACESR/Documents/guidelines%20bmr.pdf">https://www.cmvm.pt/pt/Cooperacao/esma/DocumentosESMACESR/Documents/guidelines%20bmr.pdf</a> .
RO	Romania	Romanian Financial Supervisory Authority (ASF)	<b>Yes</b>	
SI	Slovenia	Securities Market Agency (SMA)	<b>Yes</b>	
SK	Slovakia	National Bank of Slovakia (NBS)	<b>Yes</b>	
FI	Finland	Finanssivalvonta (FSA)	<b>Yes</b>	
SE	Sweden	Finansinspektionen (FI)	<b>Yes</b>	
<b>EEA EFTA States **</b>				
IS	Iceland	Central Bank of Iceland	<b>Intends to comply</b>	By the time an administrator of the relevant benchmarks is operating in the jurisdiction
LI	Liechtenstein	Finanzmarktaufsicht (FMA)	<b>Yes</b>	<a href="https://www.fma-li.li/files/list/fma-mitteilung-2012-2-anwendung-esma-leitlinien.pdf">https://www.fma-li.li/files/list/fma-mitteilung-2012-2-anwendung-esma-leitlinien.pdf</a>
NO	Norway	Finanstilsynet	<b>Yes</b>	

\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered “non-compliant” unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

\*\* The competent authorities of the EEA States other than the Member States of the European Union are required to notify their compliance with ESMA’s Guidelines relating to a legal act that has been incorporated in the EEA Agreement. This compliance table reports on Guidelines relating to Regulation (EU) 2016/1011, which has not yet been incorporated in the EEA Agreement. This table is therefore based on information provided from those competent authorities of the EEA States on a voluntary basis.

## Notes

*Article 16(3) of Regulation (EU) No 1095/2010 (the ESMA Regulation) requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.*

*ESMA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, ESMA cannot accept responsibility for its content or any reliance placed on it.*

*For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.esma.europa.eu](http://www.esma.europa.eu)).*