**CSD Register**

Articles 21 and 58 of Regulation (EU) No 909/2014 (CSDR)

**Competent authorities shall send the information requested in the below tables in WORD format to** [**CSDR.Notifications@esma.europa.eu**](mailto:CSDR.Notifications@esma.europa.eu)**. The information provided will be published by ESMA in accordance with Article 21(3) and Article 58(2) of CSDR.**

Article 21 - **CSD register**

*1.   Decisions taken by competent authorities under Articles 16, 19 and 20 shall be immediately communicated to ESMA.*

*2.   Central banks shall without undue delay inform ESMA of any securities settlement system that they operate.*

*3.   The* ***name******of each CSD*** *operating in compliance with this Regulation and to which* ***authorisation*** *or recognition has been granted pursuant to Article 16, 19 or 25 shall be entered in a register specifying the* ***services*** *and, where applicable,* ***classes of financial instruments*** *for which the CSD has been authorised. The register shall include* ***branches operated by the CSD in other Member States, CSD links and the information required under Article 31 where Member States have made use of the possibility provided for in that Article****. ESMA shall make the register available on its dedicated website and keep it up to date.*

*Article 58 -* ***CSD register***

*1. Decisions taken by competent authorities under Articles 54, 56 and 57 shall be notified to ESMA.*

*2. ESMA shall introduce in the register, that it is required to make available on its dedicated website in accordance with Article 21(3), the following information:*

*(a) the* ***name of each CSD*** *which was subject to a decision under Articles 54, 56 and 57;*

*(b) the* ***name of each******designated credit institution****;*

*(c) the* ***list of banking-type ancillary services*** *that a designated credit institution or a CSD authorised under Article 54 is authorised to provide for the CSD’s participants.*

Contents

[**I. EU CSDs (authorised under Art 16/Art 54 of CSDR) – general information** 2](#_Toc491176579)

[**II. EU CSDs (authorised under Art 16/Art 54 of CSDR) – additional information on each CSD** 3](#_Toc491176580)

[**III. Entities allowed to record book entries into securities accounts maintained by CSDs (Art 31 of CSDR)** 6](#_Toc491176581)

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **I. EU CSDs (authorised under Art 16/Art 54 of CSDR) – general information** | | | | | | | | | | |
| **CSD Home Member State** | **Competent Authority** | **CSD Name** | **LEI of CSD** | **Initial Authorisation,**  **Extension or Outsourcing of Activities and Services** | | | | **Withdrawal of Authorisation** | | |
|  |  |  |  | Date  (YYYY/MM/DD) | Specify the type of decision and if made under Art 16, 19, 54 or 56 of CSDR | Services covered (Annex to CSDR) | If applicable, name of entity to which the services or  activities are outsourced | Date (YYYY/MM/DD) | Specify if under Art 20 or Art 57 of CSDR | Services for which authorisation is withdrawn |
|  |  |  |  |  |  | 1. Core services referred to in Section A: 2. Ancillary services referred to in Section B: 3. Ancillary services allowed but not explicitly listed in Section B: 4. Banking-type ancillary services referred to in Section C: 5. Banking-type ancillary services allowed but not explicitly listed in Section C: |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **II. EU CSDs (authorised under Art 16/Art 54 of CSDR) – additional information on each CSD** | | | | | | | | | | |
| **[specify CSD Name - Home Member State]** | | | | | | | | | | |
| **Securities settlement system(s) operated by the CSD** | **Classes of financial instruments** | **CSD links** | | | **Designated credit institutions** | | **Services**  **provided in**  **host Member States** | | | |
|  | Specify the type of financial instruments as referred to in Article 42(1)(d)(i) of the Commission Delegated Regulation (EU) 2017/392 | Name of the other CSDs involved in each link | Specify for each link if the other CSDs involved in the link act as “receiving CSDs” or “requesting CSDs” | Type of link (standard link, indirect link, interopera-ble link) | Name of the credit institution(s) | Services provided (Annex to CSDR) | Host Member State | If applicable, name of the **branch** providing services | Services provided (Annex to CSDR) | If applicable, the law referred to in Article 49(1) of CSDR of the host Member State |
|  | 1. transferable securities referred to in point (a) of Article 4(1)(44) of Directive 2014/65/EU, 2. sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU, 3. transferable securities referred to in point (b) of Article 4(1)(44) of Directive 2014/65/EU, other than sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU, 4. transferable securities referred to in point (c) of Article 4(1)(44) of Directive 2014/65/EU, 5. exchange-traded funds as defined in point (46) of Article 4(1) of Directive 2014/65/UE (ETF), 6. units in collective investment undertakings, other than ETFs, 7. money-market instruments, other than sovereign debt referred to in Article 4(1)(61) of Directive 2014/65/EU, 8. emission allowances, 9. other financial instruments (please specify). |  |  |  |  | a) Banking-type ancillary services referred to in Section C:  b) Banking-type ancillary services allowed but not explicitly listed in Section C: |  |  | 1. Core services referred to in Section A: 2. Ancillary services referred to in Section B: 3. Ancillary services allowed but not explicitly listed in Section B: 4. Banking-type ancillary services referred to in Section C: 5. Banking-type ancillary services allowed but not explicitly listed in Section C: |  |

| **III. Entities allowed to record book entries into securities accounts maintained by CSDs (Art 31 of CSDR)** | | | | |
| --- | --- | --- | --- | --- |
| **Home Member State** | **Name of the CSD maintaining the securities accounts** | **Name of entity allowed to record book entries into securities accounts maintained by the CSD** | **Core services referred to in Section A of the Annex to CSDR provided by the entity** | **Regime applicable to the provision of these services, incl. applicable national law provisions** |
|  |  |  |  |  |