

GUIDELINES COMPLIANCE TABLE

MAR Guidelines for persons receiving market soundings (ESMA/2016/1477)

These guidelines apply from 10 January 2017. The following competent authorities comply, do not comply or intend to comply with the ESMA's MAR guidelines for persons receiving market soundings:

Member State		Competent authority	Complies	Comments
BE	Belgium	Financial Services and Markets Authority (FSMA)	YES	
BG	Bulgaria	Financial Supervision Commission (FSC)	YES	
CZ	Czech Republic	Czech National Bank (CNB)	YES	
DK	Denmark	Finanstilsynet	YES	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	YES	
EE	Estonia	Estonian Financial Supervision Authority (FSA)	YES	
IE	Ireland	Central Bank of Ireland	YES	
EL	Greece	Hellenic Capital Market Commission (HCMC)	YES	
ES	Spain	Comisión Nacional del Mercado de Valores (CNMV)	YES	
FR	France	Autorité des Marchés Financiers (AMF)	YES	
HR	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	YES	
IT	Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	YES	
CY	Cyprus	Cyprus Securities and Exchange Commission (CySEC)	YES	
LV	Latvia	Financial and Capital Market Commission (FCMC)	YES	
LT	Lithuania	Bank of Lithuania	YES	
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	YES	
HU	Hungary	Magyar Nemzeti Bank (MNB)	YES	
MT	Malta	Malta Financial Services Authority (MFSA)	YES	

NL	Netherlands	Netherlands Authority for the Financial Markets (AFM)	YES	
AT	Austria	Financial Market Authority (FMA)	YES	
PL	Poland	Komisja Nadzoru Finansowego (KNF)	YES	
PT	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	YES	
RO	Romania	Romanian Financial Supervisory Authority (ASF)	YES	
SI	Slovenia	Securities Market Agency (SMA)	YES	
SK	Slovakia	National Bank of Slovakia (NBS)	YES	
FI	Finland	Finanssivalvonta (FSA)	YES	
SE	Sweden	Finansinspektionen (FI)	YES	

EEA EFTA State ¹		Competent authority	Complies	Comments
IS	Iceland	Central Bank of Iceland	Intends to comply*	Central Bank of Iceland intends to comply by such time the necessary legislative or regulatory proceedings in relation to the incorporation of MAR into the EEA agreement will be completed. Central Bank of Iceland intends to comply by 31 December 2019.
LI	Liechtenstein	Finanzmarktaufsicht (FMA)	Intends to comply*	FMA intends to comply in such time «as the necessary legislative or regulatory proceedings have been completed» FMA intends to comply by 31 December 2019
NO	Norway	Finanstilsynet	YES	
GB	United Kingdom**	Financial Conduct Authority (FCA)	YES	

¹ The competent authorities of the EEA States other than the Member States of the European Union are required to notify their compliance with ESMA's Guidelines relating to a legal act that has been incorporated in the EEA Agreement. This compliance table reports on Guidelines relating to MAR, which has not yet been incorporated in the EEA Agreement. This table is therefore based on information provided from those competent authorities of the EEA States on a voluntary basis.



GI	Gibraltar ^{2**}	Financial Services Commission (Gibraltar)	YES	
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* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date (10 January 2017), it will be considered “non-compliant” unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

** The United Kingdom formally left the EU on 31 January 2020, following which the withdrawal agreement entered into force. The withdrawal agreement marked the start of a transition period which lasted until 31 December 2020, whereby the UK was no longer an EU Member State, but EU law continued to apply to it. The compliance status recorded in this table for the competent authorities of the United Kingdom and the European territories for whose external relations it is responsible under Article 355(3) TFEU (Gibraltar) therefore reflects their compliance status as at 31 December 2020 and is retained for the purpose of historical information. Please note that, from 1 January 2021, EU law no longer applies to those competent authorities.

Notes

Article 16(3) of Regulation (EU) No 1095/2010 (the ESMA Regulation) requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue.

ESMA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, ESMA cannot accept responsibility for its content or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website (www.esma.europa.eu).

² Gibraltar is a European territory for whose external relations the UK is responsible.