



European Securities and
Markets Authority

Budget 2022 amendment n.1



ESMA Budget Revenues for 2022

Source of revenue	Budget 2022 In Euro
Contribution from National Competent Authorities from the Member States	27,293,765
Contribution from the European Union	17,301,544
Credit Rating Agencies Fees	10,021,978
Trade Repositories Fees	1,915,408
SFTR Fees	841,322
STS Fees	411,648
EMIR 2.2. Fees	4,701,769
Benchmarks Fees	649,479
DRSP Fees	3,000,000
Contribution from Observers	845,008
Contribution from National Supervisory Authorities for delegated tasks	334,000
TOTAL	67,315,921

ESMA Budgeted Expenditure for 2022

TITLE	Description	2022 budget appropriations
Chapter		In Euro
1	STAFF EXPENDITURE	
11	Staff in active employment	43,090,152
12	Expenditure relating to staff management and recruitment	300,000
14	Socio-medical infrastructure	985,000
16	Training	400,000
1	TOTAL TITLE I	44,775,152

2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE	
20	Rental of building and associated costs	6,520,000
21	Information and communication technology	450,000
23	Current administrative expenditure	1,007,000
27	Representation expenses, receptions and events	6,000
2	TOTAL TITLE II	7,983,000

3	OPERATING EXPENDITURE	
31	Training for a common supervisory culture	70,000
32	Collection of information: IT projects	12,250,000
34	Legal advice	130,000
35	Access to data for Economic Research	625,000
36	Mission expenses, travel and incidental expenses	320,000
37	Communications	288,679
38	Meeting expenses	420,001
39	Services on operational matters	54,090
3	TOTAL TITLE III	14,157,769

4	DELEGATED TASKS	
40	Single interface to Trade Repositories	400,000
41	Instruments Reference Data	0
4	TOTAL TITLE IV	400,000
TOTAL EXPENDITURE ⁽¹⁾		67,315,921

1. Due to the rounding there might be € 1 different between the total and subtotals

2022 Establishment Plan - Amendment n. 1

Function group and grade	Posts (temporary agent)	Amendment N.1/2022	Posts (temporary agent) Following Amendment n.1	Function group and grade	Posts (temporary agent)	Amendment N.1/2022	Posts (temporary agent) Following Amendment n.1
AD 16	1		1				
AD 15	3		3	AST 11			
AD 14	1		1	AST 10			
AD 13	1		1	AST 9			
AD 12	5	+ 1	6	AST 8			
AD 11	7		7	AST 7			
AD 10	17	+ 4	21	AST 6	2	+ 1	3
AD 9	36	+ 3	39	AST 5	5		5
AD 8	29	+ 3	32	AST 4	1		1
AD 7	42	- 11	31	AST 3			
AD 6	42		42	AST 2			
AD 5	49		49	AST 1	2	- 1	1
AD total	233	0	233	AST total	10	0	10
TOTAL 243							


2022 BUDGET - REVENUE (in EUR)

2022 REVENUE	2021 REVENUE (following budget amendment n.1)	2022 REVENUE opening budget	% revenue source in 2022	% difference revenue source 2022 vs 2021
Fees Direct Supervision - TOTAL	15,953,988	21,541,604	32.2%	35.0%
CRA	10,545,743	10,021,978	15.0%	-5.0%
TR	2,405,728	1,915,408	2.9%	-20.4%
SFTR	643,298	841,322	1.3%	30.8%
SR	81,840	411,648	0.6%	403.0%
EMIR 2.2.*	2,277,380	4,701,769	7.0%	106.5%
BMRK	0	649,479	1.0%	-
DRSP**	0	3,000,000	4.5%	-
NCAs contribution - TOTAL	25,785,570	28,138,773	42.0%	9.1%
NCAs contribution (with FIRDS from 2022)	24,677,721	28,138,773	42.0%	14.0%
NCAs contribution to FIRDS	1,107,849	0	0.0%	-100.0%
EU subsidy and EU advances - TOTAL	18,071,139	17,301,544	25.8%	-4.3%
EU subsidy (with FIRDS)	15,821,850	17,301,544	25.8%	9.4%
EU advance (ESAs Review direct supervision BMRK and DSRP)	2,249,289	0	0.0%	-100.0%
SUB-TOTAL BUDGET (Fees, NCAs contributions, EU Subsidy, EU advances, FIRDS)	59,810,697	66,981,921	100%	11.99%
Annual contribution of NCAs and other external entities to TRACE	614,600	334,000		-45.66%
TOTAL BUDGET	60,425,297	67,315,921		11.40%

Due to rounding, there may be a €1 difference in the sub-totals and totals.

*The TC CCPs fees under EMIR 2.2 for 2022 are split as follows: € 1,600,000 from interim fees, € 55,793 from Tier 1 TC CCPs and € 3,045,976 from Tier 2 TC CCPs.

** The DRSP fees for 2022 are split as follows: € 1,601,348 from ARM and € 1,398,652 from APA.

		ESMA REGULAR USE			
		ESMA63-43-2115			
2022 BUDGET - EXPENDITURE (in EUR)					
TITLE		*2021 EXPENDITURE (estimated at year-end, including amendment and transfers)	2022 EXPENDITURE opening budget	% difference 2022 vs 2021	BUDGETARY COMMENTS
Chapter	Article				
Items					
1	TITLE 1 - STAFF EXPENDITURE				
11	Staff in active employment				
110	<i>Staff under Staff Regulations</i>				
1100	Staff salaries, allowances, insurance and grants	33,272,189	38,987,627	17%	Includes all salary related costs for TAs, CAs, SNEs and trainees
112	<i>Temporary workers</i>				
1120	Temporary workers	30,000	58,000	93%	Interims
113	<i>Contributions by the agency to pension scheme</i>				
1133	Contribution by the agency to pension scheme	3,163,601	4,044,525	28%	Pension contribution in line with the Staff Regulations, including €2,179,657 NCAs' contribution
12	Expenditure relating to staff management and recruitment				
120	<i>Expenditure relating to staff management and recruitment</i>				
1200	Expenditure relating to staff management and recruitment	535,000	300,000	-44%	Recruitment and management costs, reimbursement of candidates, visibility of vacancy notices
14	Socio-medical infrastructure				
140	<i>Medical service</i>				
1400	Medical service	75,000	85,000	13%	Medical check-ups for staff, in line with the Staff Regulations
141	<i>Schools and kindergartens</i>				
1410	Schools and kindergartens	274,000	300,000	9%	Expenditure in line with Social Measure
142	<i>Canteen</i>				
1420	Canteen and associated services	470,577	600,000	28%	ESMA's contribution to canteen costs and others
16	Training				
160	<i>Training</i>				
1600	Training	300,000	400,000	33%	Training and team building programmes
1	TOTAL TITLE 1	38,120,367	44,775,152	17%	
2	TITLE 2 - INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE				
20	Rental of building and associated costs				
200	<i>Building rental, charges and taxes</i>				
2000	Building rental, charges and taxes	5,756,000	6,000,000	4%	Building rental and associated costs
202	<i>Maintenance, works and refurbishment</i>				
2020	Maintenance, works and refurbishment	60,000	120,000	100%	Building maintenance and works
209	<i>Other expenditure on building, security and movable property</i>				
2090	Other expenditure on building, security and movable property	400,000	400,000	0%	Other expenditure related to building, building security and furniture
21	Information and communication technology				
210	<i>Information and communication technology</i>				
2100	ICT Office Supplies	450,000	450,000	0%	ICT office equipment and supplies
23	Current administrative expenditure				
230	<i>Current administrative expenditure</i>				
2300	Facility management services	370,000	350,000	-5%	Facility management including postal charges and stationery costs
2304	Services on administrative matters	760,000	550,000	-28%	General administrative costs including cybersecurity
2305	Subscriptions	120,000	100,000	-17%	General subscriptions
2308	Library expenditure	12,000	7,000	-42%	Specialised books
27	Representation expenses, receptions and events				
270	<i>Representation expenses, receptions and events</i>				
2700	Representation expenses, receptions and events	6,000	6,000	0%	Representation expenses involving participation of third parties
2	TOTAL TITLE 2	7,934,000	7,983,000	1%	
3	TITLE 3 - OPERATIONAL EXPENDITURE				
31	Training for a common supervisory culture				
310	<i>Training costs</i>				
3100	Training costs	0	70,000		Training of NCAs on common supervisory culture and supervisory convergence
32	Collection of information: IT projects				
320	<i>ICT projects costs</i>				
3200	ICT projects costs	9,930,000	12,250,000	23%	IT project costs. In line with the IT Work Programme
34	Legal advice				
340	<i>Legal advice</i>				
3400	Legal advice	80,000	130,000	63%	Legal related costs
35	Access to data for Economic Research				
350	<i>Access to data for Economic Research</i>				
3500	Access to data for Economic Research	625,000	625,000	0%	Acquisition of operational datasets and subscriptions
36	Mission expenses, travel and incidental expenses				
360	<i>Mission expenses</i>				
3600	Mission expenses	135,300	320,000	137%	Business trips related costs
37	Communications				
370	<i>Communications</i>				
3700	Translation and interpretation	570,000	188,679	-67%	Translation of guidelines and other relevant documents
3701	Communications services	213,000	100,000	-53%	Communications
38	Meeting expenses				
380	<i>General meeting expenses</i>				
3800	General meeting expenses	57,507	180,001	213%	General meeting costs
381	<i>Stakeholders Groups - Consultations</i>				
3810	Stakeholders Groups - Consultations	70,000	110,000	57%	All stakeholder groups related expenditure
382	<i>Governance</i>				
3820	Governance	35,000	75,000	114%	Management Board and Board of Supervisors
383	<i>Board of Appeal</i>				
3830	Board of Appeal costs	110,000	55,000	-50%	Board of Appeal costs
39	Services on operational matters				
390	<i>Services on operational matters</i>				
3900	Services on operational matters	55,123	54,090	-2%	Studies, impact assessments and other services for operations
3	TOTAL TITLE 3	11,880,930	14,157,769	19%	
TOTAL EXPENDITURE TITLES 1, 2, 3		57,935,297	66,915,921	16%	
4	TITLE 4 - DELEGATED TASKS				
40	Single Interface to Trade Repositories (TRACE)				
400	<i>Single Interface to Trade Repositories</i>				
4000	Single Interface to Trade Repositories	700,000	400,000	-43%	Out of which, ESMA's contribution for 2021 is €85,401 and for 2022 is €66,000
41	Instruments Reference Data (FIRDS)				
410	<i>Instruments Reference Data</i>				
4100	Instruments Reference Data	1,790,000	0	-100%	For 2021, out of which, ESMA's contribution is €682,151
4	TOTAL TITLE 4	2,490,000	400,000	-84%	
TOTAL EXPENDITURE INCLUDING ALL TITLES AND DELEGATED TASKS		60,425,297	67,315,921	11%	

*The 2021 final expenditure amounts per budget line may differ from the figures in this table, as ESMA may process additional budget transfers by the end of the year in order to optimise the yearly budget execution.