

## Guidelines compliance table

### Guidelines on cooperation arrangements and information exchange (ESMA/2014/298)

The following competent authorities\* comply with ESMA's guidelines on cooperation arrangements and information exchange (ESMA/2014/298):

Member State		Competent authority	Complies
BE	Belgium	Financial Services and Markets Authority	Yes
BG	Bulgaria	Комисията за финансов надзор (FSC)	Yes
CZ	Czech Republic	Česká Národní Banka (CNB)	Yes
DK	Denmark	Finanstilsynet	Yes
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	Yes
EE	Estonia	Finantsinspeksioon (FSA)	Yes
IE	Ireland	Central Bank of Ireland	Yes
EL	Greece	Ελληνική Επιτροπή Κεφαλαιαγοράς (HCMC)	Yes
ES	Spain	Comisión Nacional del Mercado de Valores (CNMV)	Yes
FR	France	Autorité des Marchés Financiers (AMF)	Yes
HR	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	Yes
IT	Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	Yes
CY	Cyprus	Επιτροπή Κεφαλαιαγοράς Κύπρου (CySEC)	Yes
LV	Latvia	Finanšu un kapitāla tirgus komisija (FKTK)	Yes

LT	Lithuania	Lietuvos Bankas	Yes
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	Yes
HU	Hungary	Magyar Nemzeti Bank (MNB)	Yes
MT	Malta	Malta Financial Services Authority (MFSA)	Yes
NL	Netherlands	Autoriteit Financiële Markten (AFM)	Yes
AT	Austria	Finanzmarktaufsicht (FMA)	Yes
PL	Poland	Komisja Nadzoru Finansowego (KNF)	Yes
PT	Portugal	Comissão do mercado de valores mobiliários (CMVM)	Yes
RO	Romania	Autoritatea de Supraveghere Financiară (ASF)	Yes
SL	Slovenia	Agencija za trg vrednostnih papirjev (ATVP)	Yes
SK	Slovakia	Národná Banka Slovenska (NBS)	Yes
FI	Finland	Finanssivalvonta (FSA)	Yes
SE	Sweden	Finansinspektionen (FI)	Yes

EFTA State		Competent authority	Complies
IS	Iceland	Fjármálaeftirlitið (FME)	Yes
LI	Liechtenstein	Finanzmarktaufsicht (FMA)	Yes
NO	Norway	Finanstilsynet	Yes

GB	United Kingdom**	Bank of England (BoE)	Yes
		Financial Conduct Authority (FCA)	Yes
		Prudential Regulation Authority (PRA)	Yes

## Notes

*Article 16(3) of the ESMA Regulation requires national competent authorities to inform us whether they comply or in-tend to comply with each guideline or recommendation we issue that applies to them within two months of the guide-line or recommendation being issued. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.*

*Although we try to ensure the accuracy of this document, we rely on information provided by the competent authorities and therefore cannot accept responsibility for its contents or any reliance placed on it.*

*For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.esma.europa.eu](http://www.esma.europa.eu)).*

\* This table shows the compliance position of the competent authorities which are members of ESMA's Board of Supervisors as well as two other authorities which have notified ESMA of their compliance position, namely the UK BoE and the UK PRA. The EEA States other than the Member States of the European Union are not currently required to notify their compliance with ESMA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis. ESMA has not received compliance notifications from any other competent authorities.

\*\*The United Kingdom formally left the EU on 31 January 2020, following which the withdrawal agreement entered into force. The withdrawal agreement marked the start of a transition period which lasted until 31 December 2020, whereby the UK was no longer an EU Member State but EU law continued to apply to it. The compliance status recorded in this table for the competent authority of the United Kingdom therefore reflects their compliance status as at 31 December 2020 and is retained for the purpose of historical information. Please note that, from 1 January 2021, EU law no longer applies to those competent authorities.