

ESMA Staff Stakeholder contacts in the second quarter 2021

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
06/04/2021	Allen & Overy LLP	Application of requirement for ISIN codes to securities that do not have such a code
06/04/2021	Bruegel	European Commission call for advice on digital finance
06/04/2021	Standard Ethics	ESG ratings and rating methodology
09/04/2021	Accountancy Europe	General corporate reporting topics
09/04/2021	Finance Participative France	Technical standards contained in Consultation paper on crowdfunding - notably RTS 1, 2, 3, 6
12/04/2021	European Fund and Asset Management Association (EFAMA)	MMF reforms in the EU and beyond

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12/04/2021	European Fund and Asset Management Association (EFAMA)	Potential reforms to the MMF regulatory framework
12/04/2021	IBM	European Commission call for advice on digital finance
12/04/2021	University of Luxembourg	European Commission call for advice on digital finance
13/04/2021	Association for Financial Markets in Europe (AFME)	European Commission call for advice on digital finance
13/04/2021	Better Finance	European Commission call for advice on digital finance
13/04/2021	Cboe Europe	Introductory conversation
13/04/2021	European Central Securities Depositories Association (ECSDA)	European Commission communication on strengthening the resilience of FMIs
13/04/2021	Shell, ABN AMRO	CSD
16/04/2021	European Energy Exchange (EEX)	Position management controls
21/04/2021	Finbourne	Consolidated tape provider (CTP)
21/04/2021	Oliver Wyman	European Commission call for advice on digital finance
22/04/2021	Alternative Investment Management Association (AIMA)	Aspects of the EU short selling regime

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22/04/2021	CFA Institute	ESG and CG, The SRDII, The CMU Action Plan, The Hill report
22/04/2021	Clarus	Transition to risk free rates
26/04/2021	European Association of CCP Clearing Houses (EACH)	ESMA Final Report on trade reporting
27/04/2021	LuxHub	European Commission call for advice on digital finance
27/04/2021	Plato Partnership	Market outages
27/04/2021	Tradition SA	Transition to risk free rates
28/04/2021	Bloomberg	Transition to risk free rates
28/04/2021	Coinshare Group	Investments in crypto-assets/digital currencies by collective investment undertakings
28/04/2021	McKinsey	SPACs
28/04/2021	Tradeweb	Transition to risk free rates
29/04/2021	Allianz	European Commission call for advice on digital finance
29/04/2021	European Accountancy Association	General corporate reporting topics
30/04/2021	BBVA	European Commission call for advice on digital finance

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03/05/2021	European Central Securities Depositories Association (ECSDA)	Catch-up on CSDR issues
03/05/2021	Friendsurance	European Commission call for advice on digital finance
04/05/2021	Eurelectric	Article 8 advice under the Taxonomy Regulation
04/05/2021	World Federation of Exchanges	European Commission call for advice on digital finance
05/05/2021	Eurocrowd	Crowdfunding regulation – Application issues
05/05/2021	Finance Watch	Taxonomy-related product disclosures under SFDR
06/05/2021	Brussels School of Competition	European Commission call for advice on digital finance
06/05/2021	Bundesverband Crowdfunding eV	Crowdfunding regulation – Application issues
06/05/2021	Finance Participative France	Crowdfunding regulation – Application issues
07/05/2021	Amundi	European Commission call for advice on digital finance
07/05/2021	European Banking Federation	European Commission call for advice on digital finance
07/05/2021	N26, Wise, Raisin	European Commission call for advice on digital finance
10/05/2021	European Association of CCP Clearing Houses (EACH)	CSDR settlement discipline regime

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10/05/2021	European Association of CCP Clearing Houses (EACH)	CSDR settlement discipline regime
11/05/2021	Cambridge Centre for Alternative Finance	European Commission call for advice on digital finance
18/05/2021	ING	European Commission call for advice on digital finance
18/05/2021	Santander Bank	European Commission call for advice on digital finance
19/05/2021	Large audit networks	SPACs, Climate risk in IFRS, use of US GAAP by issuers
25/05/2021	Association Française de la Gestion Financière (AFG)	MiFID II ESG amendments and upcoming ESMA Level 3 work
25/05/2021	BIPAR	European Commission call for advice on digital finance
25/05/2021	Deutsche Bank	European Commission call for advice on digital finance
25/05/2021	Oliver Wyman	European Commission call for advice on digital finance
26/05/2021	AXA	European Commission call for advice on digital finance
26/05/2021	European Fund and Asset Management Association (EFAMA)	Reporting of execution agent in derivatives and impact on the current ISO schemas
26/05/2021	FIX Trading Community	MiFID II (RTS 1 and 2 review) and update on FIX work

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27/05/2021	EUREX	LIS thresholds for specific equity and IR derivatives
27/05/2021	Federation of European Securities Exchanges (FESE) / Association of European Energy Exchanges (EUROPEX)	On-going review of RTS 2
27/05/2021	Finbourne	Consolidated tape (CT)
27/05/2021	Global Foreign Exchange Committee (GFXC)	Pre - hedging
28/05/2021	Stripe	European Commission call for advice on digital finance
28/05/2021	Virtu	Payment For Order Flow (PFOF)
31/05/2021	Peterson Institute for International Economics	European Commission call for advice on digital finance
01/06/2021	Cboe Europe	Algo trading report and market outages
01/06/2021	Managed Funds Association (MFA)	ESMA Opinion on lowering the SSR notification threshold and the SSR framework
02/06/2021	BVI: German Investment Funds Association and GDV: German Insurance Association	ESMA's Call for Evidence on Access to and Use of Credit Ratings

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02/06/2021	Mirko Kraft	European Commission call for advice on digital finance
04/06/2021	Mytek systems	European Commission call for advice on digital finance
07/06/2021	Swiss Reinsurance	European Commission call for advice on digital finance
08/06/2021	Compass Lexecon	European Commission call for advice on digital finance
08/06/2021	European Federation of Energy Traders (EFET), RWE, Statkraft	Impact from non-equivalence of UK exchanges
08/06/2021	Google	European Commission call for advice on digital finance
08/06/2021	IHS Markit	DTO and on the transition to risk-free rates (RFRs)
09/06/2021	Amundi	European Commission call for advice on digital finance
09/06/2021	Microsoft	European Commission call for advice on digital finance
10/06/2021	FIA European Principal Traders Association (EPTA)	Algorithmic trading, market outages, speedbumps
10/06/2021	Insurance Europe	European Commission call for advice on digital finance
11/06/2021	Accountancy Europe	General corporate reporting topics
14/06/2021	Ant Group	European Commission call for advice on digital finance

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17/06/2021	European Fund and Asset Management Association (EFAMA)	Cost and Performance on retail investment products in the EU published in April 2020
18/06/2021	Federation of European Securities Exchanges (FESE)	DLT Pilot regime
18/06/2021	Holland Fintech	European Commission call for advice on digital finance
18/06/2021	Solaris Bank	European Commission call for advice on digital finance
22/06/2021	EURONEXT	Euronext DRSP business and impact of the ESMA's supervisory fees
23/06/2021	Large audit networks	SPACs, ECEP, COVID-19, ESEF implementation
25/06/2021	European Fund and Asset Management Association (EFAMA)	Cost and Performance on retail investment products in the EU published in April 2020, methodological note
28/06/2021	Oxera	OXERA report on the landscape for European equity trading and liquidity
28/06/2021	Vanguard	Vanguard work in respect of inducements, the type of information and data that might be helpful for ESMA to receive in respect of the current MiFID II inducements regime, 3. Update in respect of launch of Vanguard's direct-to-consumer EU business
29/06/2021	Deutsche Bank	European Commission call for advice on digital finance
29/06/2021	Facebook	European Commission call for advice on digital finance