



ESMA Staff Stakeholder contacts in the First Quarter 2019

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
09/01/2019	Meeting with DDV	ESMA's product intervention measures
09/01/2019	Various market participants	Discussion on the revised TS on disclosure templates
10/01/2019	Eurex	Futures on sovereign bonds
10/01/2019	AMAFI	PRIIPs
15/01/2019	Banco Santander	FinTech projects
15/01/2019	AMAFI	DLT
17/01/2019	Citadel	Speed Bumps
23/01/2019	ISDA	Benchmark changes to legacy contracts and impact with EMIR margin
		requirements
23/01/2019	MSCI	ESMA Benchmarks Register
24/01/2019	Amazon	Cloud
24/01/2019	Euroclear	DLT
24/01/2019	Meeting with Aviva	Sustainable Finance
01/02/2019	EDMA (Electronic Debt Market Association)	Issues faced by relocating UK trading venues as well as the impact of Brexit on MiFIDII/MiFIR
04/02/2019	VOB (Association of German Public Banks)	Matters related to credit ratings, planning of future interaction
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11/02/2019	IHS Markit	Research evaluation solutions
11/02/2019	AFME	MiFID transparency calculations
12/02/2019	Coinhouse	ICOs and crypto-assets



13/02/2019	Amundi	Sustainability risk, Integration of ESG preferences, Conflict of interest, Taxonomy, Existing Amundi practices incorporating ESG considerations, PRIIPs
14/02/2019	S&P Global	Macroeconomic environment, risks to global and European growth arising e.g from trade discussions and Brexit and impact on financial markets.
15/02/2019	Tradeweb	Brexit: workflow for relocation of APA
20/02/2019	GDF Summit	Crypto-assets
20/02/2019	Ediphy	MiFIR trade transparency data
20/02/2019	FIA-EPTA	Presentation of FIA-EPTA, periodic auctions, transparency regime and other issues
22/02/2019	CFA Institute	Sustainable finance and Brexit
22/02/2019	INREV	Brexit, AIFMD 3rd countries passport and priorities of the next COM
25/02/2019	S&P	Commission Action Plan on Sustainable Finance, ESMA Consultation Paper on Disclosure Requirements Applicable to Credit Ratings
25/02/2019	BNPP and Citi	SI Regime
28/02/2019	AxiomSL	RegTech, reporting
01/03/2019	Clearstream	Tokenisation
01/03/2019	FIA	Risk management standards for CCPs
04/03/2019	Credit Agricole	Trading obligation derivatives
04/03/2019	Capstone EU	SFT haircuts
07/03/2019	KBRA	ECAI Mapping
08/03/2019	Deloitte	Online tools and services supporting retail investors in investment decisions – Deloitte commissioned by European Commission to develop concept for this topic; exchange of views regarding state of play, opportunities and obstacles.
11/03/2019	CGI Inc	ESMA's prospectus Q&As 103 and 104
11/03/2019	Tradeweb	Go-live of EU MTF (Multilateral Trading Facility), trading obligation derivatives
11/03/2019	CME Group	Go-live of EU RM (Regulated Market) and MTF
12/03/2019	MUFG	Benchmarks, Libor Transition
13/03/2019	BDO	ESEF event in Austria



14/03/2019	Bloomberg	Go-live of EU entity, trading obligation derivatives
14/03/2019	Tradition Paris	Go-live of EU entity
18/03/2019	PwC	Discussion of operational risks, cyber risks in markets; cloud computing and
		CSP outsourcing risks; Planned PwC report on operational risks and likely
		content.
18/03/2019	TP ICAP	relocation plans and go-live date
18/03/2019	Tradeweb	go-live of EU MTF
18/03/2019	EUREX	Speedbumps
19/03/2019	KPMG	Asset Management risks in area of cost and performance; market practices
		in pricing; distribution structures and costs
19/03/2019	Commercial Real Estate Finance Council	Securitisation
20/03/2019	CME Group	Brexit: impact of relocation to EU27, following communication to ITMG that
		trading venues relocating shall request a new MIC
21/03/2019	BIS	OTC derivative markets size estimate for derivatives annual report
27/03/2019	Bloomberg	go-live of EU entity, trading obligation derivatives
27/03/2019	HSBC	EMIR Brexit RTS
27/03/2019	Representatives of the IFRS Technical Desks	Accounting topics relevant for 2018 year-end and 2019 Q1 reporting
29/03/2019	RCB Investor and Treasury Services	MiFID II market data issues