

ESMA Staff Stakeholder contacts in the Fourth Quarter 2018

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
01/10/2018	Deutscher Derivate Verband (DDV)	Product Governance
02/10/2018	Hogan Lovells	DLT
05/10/2018	Copenhagen Economics, Swedish Securities Dealers Association	Price of market data and Reasonable Commercial Basis concept
08/10/2018	Markit	Contract discontinuation discussion
09/10/2018	Standard Chartered	Benchmarks, third country regime
11/10/2018	Swiss Exchange (SIX)	MiFID trading obligation
12/10/2018	R3	DLT, Crypto Assets
15/10/2018	Tokeny	Cryptos
15/10/2018	Consensys	Cryptos
16/10/2018	Barclays	Liquidity risk
16/10/2018	NEX	Regulatory treatment of risk balancing services
17/10/2018	European Association of Corporate Treasurers (EACT)	Brexit, EMIR Refit
17/10/2018	Prof. Battistion (University of Zurich)	Climate stress testing
18/10/2018	Electronic Debt Markets Association (EDMA)	Completeness indicators on bonds
19/10/2018	European Financial Planning Association (EFPA)	Assessment of the implementation in EU member states of MiFID II requirements on knowledge and competence

23/10/2018	BlackRock	Sustainable Finance, delegated acts under UCITS V, AIFMD and MiFID II
26/10/2018	DSW, Better Finance	Fiduciary duties of long-term asset managers lending their securities to third parties
29/10/2018	London School of Economics (LSE)	Cryptos
06/11/2018	Matthias Thiemann (SciencePo)	CCP Supervision
06/11/2018	Carlton Hill Partners	Blockchain and securitisation
07/11/2018	Moody's	ESMA's Consultation Paper for Guidelines on Periodic Information
08/11/2018	Alternative Investment Management Association (AIMA), Managed Funds Association (MFA)	MiFID II
09/11/2018	ICI Global	Discussion on the Commission's request for technical advice on potential amendments to delegated acts
09/11/2018	DTCC	CCP/CSD applications, segregation, SFTR timing, Portability
09/11/2018	Turquoise	Periodic auctions and mid-point trading
09/11/2018	Melonport	Cryptos
12/11/2018	EEX	Commodity derivatives trading
13/11/2018	Irish Funds	Introduction to Irish Funds, ESAs reform, Delegation, Feedback on Supervisory Coordinate Network, Irish Funds work on outsourcing best practices, Brexit preparations, Money Market Fund Regulation implementation, PRIIPs, Back to GAAP – Irish Funds White Paper
13/11/2018	German Investment Funds Association (BVI)	ESRB Recommendation on leverage and liquidity in investment funds, Money Market Funds and AIFMD Reporting, transaction costs/exemption for retail investment funds from the scope of the PRIIPs framework
13/11/2018	MSCI	Brexit, Benchmarks register
13/11/2018	Swedish Investment Fund Association (SIFA)	MAR
14/11/2018	Association Française des Sociétés Financières (ASF)	Present the association and their files on investment services providers
14/11/2018	Risk Management Association	SFTR
15/11/2018	Electronic Debt Markets Association (EDMA)	Impact of Brexit on MiFID
16/11/2018	Tradeweb	Impact of Brexit

21/11/2018	European Savings and Retail Banking Group (ESBG)	European Commission's request for technical advices on the integration of sustainability considerations in delegated acts
26/11/2018	FESE, DBAG, Euronext, Nasdaq, LME	Brexit
26/11/2018	AFME	AI
27/11/2018	AMAFI	ESMA and AMAFI priorities
27/11/2018	XBRL Europe ESEF Best Practice Task Force	European Single Electronic Format (ESEF)
27/11/2018	EEX	Transparency calculation for electricity derivatives
28/11/2018	CFA Institute	ESMA guidelines on knowledge and competence
28/11/2018	Climate Disclosure Standards Board (CDSB Global)	ESMA 2019 work programme
29/11/2018	CBOE	Brexit relocation, periodic auctions
03/12/2018	Swedish Investment Fund Association (SIFA)	MAR
05/12/2018	ChinaBond Pricing Center	Benchmarks
13/12/2018	Association Française des Produits d'Investissement de Détail et de Bourse (AFPDB)	Structured products study
14/12/2018	BDO, Deloitte, EY, GT, KPMG, Mazaars, PWC	Application issues of IFRS for the 2018 year-end
17/12/2018	Global Digital Finance	FinTech and cryptos
20/12/2018	D3	Cryptos