



GUIDELINES COMPLIANCE TABLE

Guidelines on Alternative Performance Measures (ESMA/2015/1415 REV)

The following competent authorities have informed us that they comply, do not comply or intend to comply with the ESMA's guidelines on Alternative Performance Measures:

		Competent authority	Complies or intends to comply	Comments
Member States				
AT	Austria	Financial Market Authority (FMA)	Yes	
BE	Belgium	Financial Services and Markets Authority (FSMA)	Yes	
BG	Bulgaria	Financial Supervision Commission (FSC)	Yes	
CZ	Czech Republic	Czech National Bank (CNB)	Yes	
DK	Denmark	Finanstilsynet	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	Yes	
EE	Estonia	Estonian Financial Supervision Authority	Yes	
IE	Ireland	Irish Accounting and Auditing Supervisory Authority (IAASA)	Yes	
		Central Bank of Ireland	Yes	
EL	Greece	Hellenic Capital Market Commission (HCMC)	Yes	
ES	Spain	Comisión Nacional del Mercado de Valores (CNMV)	Yes	
FR	France	Autorité des Marchés Financiers (AMF)	Yes	
HR	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	Yes	
IT	Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	Yes	
CY	Cyprus	Cyprus Securities and Exchange Commission (CySEC)	Yes	
LV	Latvia	Financial and Capital Market Commission (FCMC)	Yes	



LT	Lithuania	Bank of Lithuania	Yes
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	Yes
HU	Hungary	Magyar Nemzeti Bank (MNB)	Yes
MT	Malta	Malta Financial Services Authority (MFSA)	Yes
NL	Netherlands	Netherlands Authority for the Financial Markets (AFM)	Yes
PL	Poland	Komisja Nadzoru Finansowego (KNF)	Yes
PT	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	Yes
RO	Romania	Romanian Financial Supervisory Authority (CNVM)	Yes
SI	Slovenia	Securities Market Agency (SMA)	Yes
SK	Slovakia	National Bank of Slovakia (NBS)	Yes
FI	Finland	Finanssivalvonta	Yes
SE	Sweden	Finansinspektionen	Yes

EEA EFTA States**

NO	Norway	Finanstilsynet	Yes
LI	Liechtenstein	Liechtenstein Financial Market Authority (LFMA)	Yes
IS	Iceland	Bank of Iceland	Yes

** The competent authorities of the EEA States other than the Member States of the European Union are required to notify their compliance with ESMA's guidelines or recommendations relating to a legal act that has been incorporated in the EEA Agreement. This compliance table reports on guidelines relating to Transparency Directive (Directive 2004/109/EC of the European Parliament and of the Council of 15 December 2004 on the harmonisation of transparency requirements in relation to information about issuers whose securities are admitted to trading on a regulated market and amending Directive 2001/34/EC), Prospectus Regulation (Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC) and Market Abuse Regulation (Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (market abuse regulation) and repealing Directive 2003/6/EC of the European Parliament and of the Council and Commission Directives 2003/124/EC, 2003/125/EC and 2004/72/EC), which have been incorporated in the EEA Agreement.

Notes

Article 16(3) of the ESMA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue that applies to them within two



months of the guideline or recommendation being issued. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish these reasons.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website (www.esma.europa.eu).