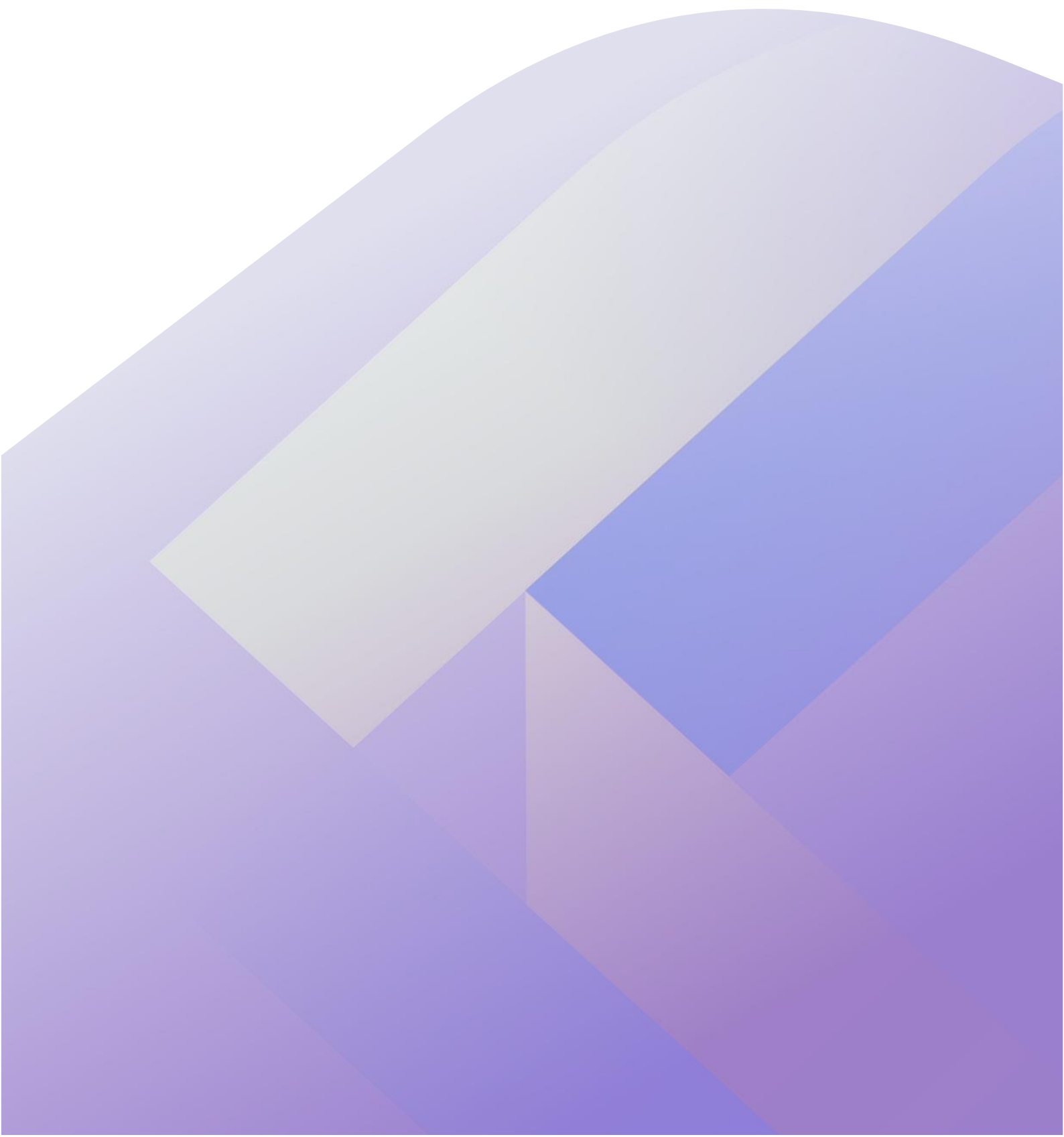


Budget 2023 amendment n. 1



ESMA Budget Revenues for 2023

Source of revenue	Budget 2023 In Euro
Contribution from National Competent Authorities from the Member States	29,162,058
Contribution from the European Union	18,588,578
Credit Rating Agencies Fees	10,222,532
Trade Repositories Fees	1,930,844
SFTR Fees	870,348
STS Fees	376,137
EMIR 2.2. Fees	5,958,208
Benchmarks Fees	853,685
DRSP Fees	2,700,000
Contribution from Observers	902,850
Contribution from National Supervisory Authorities for delegated tasks	3,334,000
Additional EU funding stemming from service-level agreements (FFR Art.43.2)	347,371
TOTAL	75,246,611

ESMA Budget Expenditure for 2023

TITLE	Description	2023 budget appropriations
Chapter		In Euro
1	STAFF EXPENDITURE	
11	Staff in active employment	46,655,861
12	Expenditure relating to staff management and recruitment	550,000
14	Socio-medical infrastructure	915,000
16	Training	380,000
1	TOTAL TITLE I	48,500,861

2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE	
20	Rental of building and associated costs	7,045,080
21	Information and communication technology	400,000
23	Current administrative expenditure	915,000
27	Representation expenses, receptions and events	5,700
2	TOTAL TITLE II	8,365,780

3	OPERATING EXPENDITURE	
31	Training for a common supervisory culture	72,000
32	Collection of information: IT projects	12,715,494
34	Legal advice	135,000
35	Access to data for Economic Research	750,000
36	Mission expenses, travel and incidental expenses	421,059
37	Communications	401,417
38	Meeting expenses	410,000
39	Services on operational matters	75,000
3	TOTAL TITLE III	14,979,970

4	DELEGATED TASKS and ESAP	
40	Delegated tasks and ESAP	3,400,000
4	TOTAL TITLE IV	3,400,000
TOTAL EXPENDITURE		75,246,611

2023 Establishment Plan

Function group and grade	Posts (temporary agent)	Function group and grade	Posts (temporary agent)
AD 16	1		
AD 15	3	AST 11	
AD 14	1	AST 10	
AD 13	2	AST 9	
AD 12	9	AST 8	
AD 11	11	AST 7	
AD 10	29	AST 6	4
AD 9	45	AST 5	9
AD 8	39	AST 4	
AD 7	40	AST 3	
AD 6	38	AST 2	
AD 5	32	AST 1	
AD total	250	AST total	13
TOTAL 263			

2023 BUDGET - REVENUE (in EUR)

2023 REVENUE	2023 REVENUE Opening budget [A]	AMENDMENT N.1 of 2023 budget [B]	2023 REVENUE FOLLOWING AMENDMENT N.1 [A+B]	% revenue source in 2023
Fees Direct Supervision - TOTAL	22,869,754	42,000	22,911,754	32.0%
CRA	10,220,532	2,000	10,222,532	14.3%
TR	1,930,844	0	1,930,844	2.7%
SFTR	870,348	0	870,348	1.2%
SR	376,137	0	376,137	0.5%
EMIR 2.2.*	5,958,208	0	5,958,208	8.3%
BMRK	813,685	40,000	853,685	1.2%
DRSP **	2,700,000	0	2,700,000	3.8%
NCA's contribution - TOTAL	30,064,908	0	30,064,908	42.0%
NCA's contribution	30,064,908	0	30,064,908	42.0%
EU subsidy - TOTAL	18,588,578	0	18,588,578	26.0%
EU subsidy	18,588,578	0	18,588,578	26.0%
SUB-TOTAL BUDGET (Fees, NCA's contributions, EU Subsidy)	71,523,240	42,000	71,565,240	100%
Annual contribution of NCA's and other external entities to TRACE	334,000	0	334,000	
Contribution for ESAP***	3,000,000	0	3,000,000	
Additional EU funding stemming from SLA with DG REFORM "EU - Supervisory Digital Academy" ****	0	347,371	347,371	
TOTAL BUDGET	74,857,240	389,371	75,246,611	

Due to rounding, there may be a €1 difference in the sub-totals and totals.

* The TC CCPs fees under EMIR 2.2 for 2023 are split as follows: €300,000 Interim fees, €2,239,991 from Tier 1 TC CCPs and €3,418,217 from Tier 2 TC CCPs

** The DRSP fees for 2023 are split as follows: €1,422,383 from ARMs and €1,277,617 from APAs.

*** Following the Commission's request, ESMA's budget planning for the Boards encompasses also the resources displayed in the Legislative Financial Statement (LFS) of all Commission's proposals under discussion. The budget related to ESAP will be disclosed in ESMA's publications if legally required and only after final confirmation from the Commission and/or from the co-legislators.

**** €347,371 internal assigned revenue.

2023 BUDGET - EXPENDITURE (in EUR)

TITLE		2023 EXPENDITURE opening budget [A]	AMENDMENT N.1 OF 2023 BUDGET [B]	2023 EXPENDITURE FOLLOWING AMENDMENT N.1 [A+B]	BUDGETARY COMMENTS
Chapter	Article				
Items					
1	TITLE 1 - STAFF EXPENDITURE				
11	Staff in active employment				
110	Staff under Staff Regulations				Includes all salary related costs for TAs, CAs, SNEs and trainees (of which €261,232 C4 funds stemming from SLA with DG REFORM)
1100	Staff salaries, allowances, insurance and grants	42,152,629	303,232	42,455,861	
112	Temporary workers				Interims
1120	Temporary workers	0		0	
113	Contributions by the agency to pension scheme				Pension contribution in line with the Staff Regulations, including €2,133,407 NCAs' contribution
1133	Contribution by the agency to pension scheme	4,200,000		4,200,000	
12	Expenditure relating to staff management and recruitment				
120	Expenditure relating to staff management and recruitment				Recruitment and management costs, reimbursement of candidates, visibility of vacancy notices
1200	Expenditure relating to staff management and recruitment	550,000		550,000	
14	Socio-medical infrastructure				
140	Medical service				Medical check-ups for staff, in line with the Staff Regulations
1400	Medical service	65,000		65,000	
141	Schools and kindergartens				Expenditure in line with Social Measure
1410	Schools and kindergartens	350,000		350,000	
142	Canteen				ESMA's contribution to canteen costs and others
1420	Canteen and associated services	500,000		500,000	
16	Training				
160	Training				Training and team building programmes
1600	Training	380,000		380,000	
1	TOTAL TITLE 1	48,197,629	303,232	48,500,861	
2	TITLE 2 - INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE				
20	Rental of building and associated costs				
200	Building rental, charges and taxes				Building rental and associated costs (of which €55,080 C4 funds stemming from SLA with DG REFORM)
2000	Building rental, charges and taxes	6,500,000	55,080	6,555,080	
202	Maintenance, works and refurbishment				Building maintenance and works
2020	Maintenance, works and refurbishment	90,000		90,000	
209	Other expenditure on building, security and movable property				Other expenditure related to building, building security and furniture
2090	Other expenditure on building, security and movable property	400,000		400,000	
21	Information and communication technology				
210	Information and communication technology				ICT office equipment and supplies
2100	ICT Office Supplies	400,000		400,000	
23	Current administrative expenditure				
230	Current administrative expenditure				Facility management including postal charges and stationery costs
2300	Facility management services	300,000		300,000	
2304	Services on administrative matters	500,000		500,000	General administrative costs including cybersecurity
2305	Subscriptions	110,000		110,000	
2308	Library expenditure	5,000		5,000	General subscriptions
2308	Library expenditure	5,000		5,000	Specialised books
27	Representation expenses, receptions and events				
270	Representation expenses, receptions and events				Representation expenses involving participation of third parties
2700	Representation expenses, receptions and events	5,700		5,700	
2	TOTAL TITLE 2	8,310,700	55,080	8,365,780	
3	TITLE 3 - OPERATIONAL EXPENDITURE				
31	Training for a common supervisory culture				
310	Training costs				Training of NCAs on common supervisory culture and supervisory convergence
3100	Training costs	72,000		72,000	
32	Collection of information: IT projects				
320	ICT projects costs				IT project costs. In line with the IT Work Programme
3200	ICT projects costs	12,715,494		12,715,494	
34	Legal advice				
340	Legal advice				Legal related costs
3400	Legal advice	135,000		135,000	
35	Access to data for Economic Research				
350	Access to data for Economic Research				Acquisition of operational datasets and subscriptions
3500	Access to data for Economic Research	750,000		750,000	
36	Mission expenses, travel and incidental expenses				
360	Mission expenses				Business trips related costs (of which €31,059 C4 funds stemming from SLA with DG REFORM)
3600	Mission expenses	390,000	31,059	421,059	
37	Communications				
370	Communications				Translation of guidelines and other relevant documents
3700	Translation and interpretation	291,417		291,417	
3701	Communications services	110,000		110,000	Communications
38	Meeting expenses				
380	General meeting expenses				General meeting costs
3800	General meeting expenses	200,000		200,000	
381	Stakeholders Groups - Consultations				All stakeholder groups related expenditure
3810	Stakeholders Groups - Consultations	95,000		95,000	
382	Governance				Management Board and Board of Supervisors
3820	Governance	60,000		60,000	
383	Board of Appeal				Board of Appeal costs
3830	Board of Appeal costs	55,000		55,000	
39	Services on operational matters				
390	Services on operational matters				Studies, impact assessments and other services for operations
3900	Services on operational matters	75,000		75,000	
3	TOTAL TITLE 3	14,948,911	31,059	14,979,970	
TOTAL EXPENDITURE TITLES 1, 2, 3		71,457,240	389,371	71,846,611	
4	TITLE 4 - DELEGATED TASKS				
40	Single Interface to Trade Repositories (TRACE)				
400	Single Interface to Trade Repositories				Out of which, ESMA's contribution for 2023 is €66,000
4000	Single Interface to Trade Repositories	400,000		400,000	
42	European Single Access Point (ESAP)				
420	European Single Access Point				
4200*	European Single Access Point	3,000,000		3,000,000	
4	TOTAL TITLE 4	3,400,000	0	3,400,000	7
TOTAL EXPENDITURE INCLUDING ALL TITLES AND DELEGATED TASKS		74,857,240	389,371	75,246,611	

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