

ESMA Staff Stakeholder contacts in the Third Quarter 2016

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders. This type of information will be published in the beginning of January, April, July and October for the previous quarter.

Date	Institution met	Main topics discussed
4 July 2016	AFME	Capital Markets Union
4 July 2016	FESE	Transparency, Data Project, Data Formats, Timeline; MiFID II / MiFIR; Market Abuse Regulation; PRIIPS; Benchmarks Regulation; ESAs Review
4 July 2016	ISLA	SFTR
5 July 2016	AFME	SFTR
5 July 2016	EFAMA	SFTR
5 July 2016	Algebris	Current market developments, CoCos
5 July 2016	BoAML	Current market developments
5 July 2016	UBS	Current market developments
6 July 2016	ICMA	SFTR
6 July 2016	AIMA	SFTR
6 July 2016	AMAFI	MiFID II-MiFIR
6 July 2016	Citadel	MiFID II-MiFIR
7 July 2016	EACH	SFTR
7 July 2016	Bloomberg	Reference data
12 July 2016	MAS	Market developments and financial innovation

19 July 2016	EEX	Market Making contracts; Liquidity Provision Contracts; Volume Provision Contracts
20 July 2016	Nomura Research Institute	MiFID II - Algorithmic and High Frequency Trading
20 July 2016	ING	<ul style="list-style-type: none"> • Change of EURIBOR methodology, • Past and future support by ESMA and EU authorities, • Technical Advice on Definitions in the BMR, • Issues relating to 3rd country benchmarks
20 July 2016	Bulgarian Financial Forum and Better Finance	Asset segregation and custody services
20 July 2016	ISO 20022 Standards Evaluation Group - Derivatives SubSEG	Review of candidate ISO 20022 messages for derivatives reporting
21 July 2016	Wealth Management Association (WMA)	Product governance (MiFID II)
25 July 2016	ISDA	MiFID II concept of Traded on a Trading Venue for the purpose of transparency
25 July 2016	CFPB	Market developments and financial innovation
26 July 2016	Euronext	Prospectus training seminar
27 July 2016	ICMA	Product governance (MiFID II)
28 July 2016	Deutsche Boerse	TRV circuit breaker vulnerabilities article
29 July 2016	Deutsche Bank	MiFID II/MiFIR (extraterritoriality issues)
11 August 2016	Bloomberg	Liquidity Assessment
2 September 2016	Union Investment	Discussion on current market risks and latest edition of TRV.
2 September 2016	ISDA	Commodity derivatives
7 September 2016	ICI Global	Fund fees and flows
12 September 2016	Intercontinental Exchange (ICE)	Technical Advice under the Benchmarks Regulation (BMR)
12 September 2016	Quoted Companies Alliance (QCA)	Upcoming Prospectus Regulation and connected Level II measures.
12 September 2016	Olivier Beroud consulting	Competitive landscape of CRA industry.
14 September 2016	ICI Global	Discussion on current market risks and latest edition of TRV.

15 September 2016	EUROPEX	Ancillary activity; Annex I MiFID II (C(6) “derivatives that must be physically settled”)
16 September 2016	CME Group	Cash-settled vs physically-delivered contracts in the context of position limits for commodity derivatives
22 September 2016	Thomson Reuters	Technical Advice under the Benchmarks Regulation (BMR)
22 September 2016	FESE and MMT	Publication of post-trade transparency information under RTS 1 and 2
22 September 2016	International Integrated Reporting Council	Update on the activities of the IIRC
26 September 2016	London Stock Exchange Group	Upcoming Prospectus Regulation and connected Level II measures.
27 September 2016	FinTEch Liechtenstein	Discussion on Fintech regulation
28 September 2016	R3	DLT
29 September 2016	ISO 20022 Standards Evaluation Group - Derivatives SubSEG	Review of candidate ISO 20022 messages for derivatives reporting
30 September 2016	FIA	EMIR implementation, offering of clearing services by financial institutions, third country regime issues and position limits
30 September 2016	SIFMA Asset Management Group	<ul style="list-style-type: none"> • Introduction of SIFMA AMG and overview of areas where SIFMA AMG tends to comment • Uncleared Swap Margin, including minimum transfer amount and investment funds (threshold calculations) • UCITS Share Class Hedging • Dealing Commissions
30 September 2016	Guidepost	FinTech