

ESMA Staff Stakeholder contacts in the Second Quarter 2016

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders. This type of information will be published in the beginning of January, April, July and October for the previous quarter.

Date	Institution met	Main topics discussed
01 April 2016	Deutsche Bank	MiFID, Benchmarks, SFTR and market data reporting, role as a systemic clearing member and a key player on most EU infrastructures, strategy.
04 April 2016	Brick Court Chambers	MAR Art. 16 - Suspicious Transaction and Order Reporting
07 April 2016	TradeWeb	MiFID/MiFIR
11 April 2016	Institute of International Finance (IIF)	NBNI, market liquidity
11 April 2016	Blackrock	SFT haircuts
14 April 2016	ICI Global	Non-equity transparency, recovery and resolution, EMIR review, regulatory developments in the US, SFTR
14 April 2016	AIMA	Benchmarks Regulation Short Selling Regulation implementation
14 April 2016	EFAMA	Regulation on Securities Financing Transactions

15 April 2016	EACH, FIA and ISDA	Regulatory technical standards on indirect clearing under EMIR and under MiFIR
18 April 2016	Afore Consulting	Distributed Ledger Technology
19 April 2016	Deutsche Boerse AG	Distributed Ledger Technology, HFT, MiFID 2 / MAR data implementation
19 April 2016	EFAMA	Distributed Ledger Technology, recent market developments
20 April 2016	European Digital Currency and Blockchain Technology Forum - Roundtable	Distributed Ledger Technology
21 April 2016	Erasmus University (Rotterdam)	Circuit Breakers
22 April 2016	Deloitte Australia	Conduct in financial markets, OTC implementation, CCP resilience, and market liquidity
26 April 2016	ICE	Benchmarks regulation Level 2 work
28 April 2016	MARKIT	Benchmarks regulation Level 2 work
03 May 2016	Bloomberg	Investment Recommendation regime under MAR
11 May 2016	AFME and BBA	Investment Recommendation regime under MAR
12 May 2016	ICMA European Repo and Collateral Council	Regulation on Securities Financing Transactions
13 May 2016	Global Association of Risk Professionals (GARP)	CCP issues, stress testing
18 May 2016	CFA Institute	MiFID Guidelines, CFA Fintech
18 May 2016	BEUC	Retail investor trends

18 May 2016	Better Finance	Retail investor trends
18 May 2016	Danish Shareholders Association	Retail investor trends
18 May 2016	Altroconsumo	Retail investor trends
19 May 2016	CME Group	Distributed Ledger Technology
19 May 2016	SIX Swiss Exchange	MIFIR/MIFID II –Impact of certain provisions on third countries
20 May 2016	DTCC	Distributed Ledger Technology
23 May 2016	Euronext	Distributed Ledger Technology
23 May 2016	Out-of-court dispute resolution bodies	MiFID and MiFID 2 framework for investor protection
24 May 2016	Citi	Distributed Ledger Technology
23 May 2016	SWIFT	Development of messages for regulatory data reporting
24 May 2016	UBS Lab	Distributed Ledger Technology
24 May 2016	Clearmatics	Distributed Ledger Technology
24 May 2016	DB Innovation Lab	Distributed Ledger Technology
27 May 2016	EFAMA	Synthetic leverage
30 May 2016	Banco Santander	Digital transformation of financial services in Europe. Automated advice. Blockchain. Cybersecurity.
30 May 2016	Banco Santander	Product governance, MiFID II, Automated advice, EC Green Paper on retail financial services, Crowdfunding, PRIIPs risk classification

30 May 2016	Banco Santander	MIFID II, EMIR, SFTR, Benchmarks Regulation
08 June 2016	Blackrock	Stress testing, liquidity management, leverage
08 June 2016	IG Holdings Group	Provision fo CFDs and similar products to retail clients under MiFID
08 June 2016	SETL	Distributed Ledger Technology
08 June 2016	JPMorgan	Distributed Ledger Technology
08 June 2016	S&P Dow Jones Indices and Platts (S&PDJP)	Benchmarks regulation Level 2 work
08 June 2016	Deutsche Bank	Discussion Paper on Trading Obligation (TO) for derivatives
08 June 2016	Citigroup Global Markets Limited	Trading Obligation (TO) for derivatives
08 June 2016	Europex	Liquidity Provision, Position Limits
20 June 2016	AFG	PRIPs, AIFMD passport, MiFID II, CMU
21 June 2016	AFG	PRIPs, share classes, closet indexing, delta one
21 June 2016	European Association of CCP Clearing Houses (EACH)	Stress test exercise
22 June 2016	Capital Group	Remuneration, share classes, country-by-country reporting, UCITS
23 June 2016	CME Group	Macroprudential use of margins, leverage ratio and CCP recovery and resolution
27 June 2016	IIF	Corporate bond market liquidity, Investment funds liquidity and leverage, Collateral markets

28 June 2016	Bloomberg	<ul style="list-style-type: none"> • Definition of Actionable Indication of Interest (A-IOI) • Pre-trade transparency requirements for Request for Quotes (RFQ) trading systems • Definition of multilateral system
28 June 2016	MARKIT	Fixed Income Liquidity, liquidity assessment on Trading Obligation for derivatives
29 June 2016	ICAP	Post-trade risk reduction activity: <ul style="list-style-type: none"> • How this facility fits into the MiFID II / MiFIR regulatory framework. • What is the impact of their activity on the volume of Forward Rate Agreements traded in the Union.
30 June 2016	BNP Paribas	Distributed Ledger Technology
30 June 2016	ICI Global	<ul style="list-style-type: none"> • Expected timelines for the delivery of the CP and technical standards. • Alignment with other reporting regimes. • MiFIR RTS on fund managers reporting under the SFTR and MiFIR
30 June 2016	BBA	MiFID II product governance